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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
114104-9

In Re Application Of: **Tai Rosander et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/661,685	September 11, 2003	Unknown	29159	3713	7354

Title: **GAMING DEVICE HAVING A MECHANICAL SECONDARY DISPLAY**



Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
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10/661,685	September 11, 2003	Unknown	29159	3713	7354

**GAMING DEVICE HAVING A MECHANICAL SECONDARY DISPLAY**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

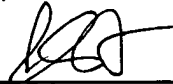
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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on August 12, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

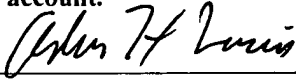


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Signature of Person Mailing Correspondence

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Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.



\_\_\_\_\_  
Signature

Dated: August 12, 2004

Adam H. Masia  
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Phone: 312-807-4284

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Tai Rosander et al.  
Appl. No.: 10/661,685  
Conf. No.: 7354  
Filed: September 11, 2003  
Title: GAMING DEVICE HAVING A MECHANICAL SECONDARY DISPLAY  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 114104-009

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
3,795,022	August 17, 1976	Figuerola
6,712,694	March 30, 2004	Nordman

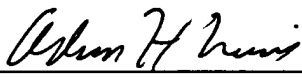
**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
912,685	December 12, 1962	United Kingdom

**OTHER DOCUMENTS**

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia

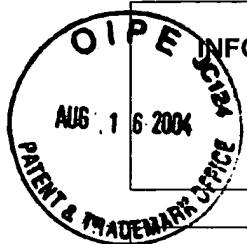
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Dated: August 12, 2004



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 0114104-009	Application No. 10/661,685
	Applicant Tai Rosander et al.	
	Filing Date September 11, 2003	Group 3713

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	3,795,022	August 17, 1976	Figuroa			
	6,712,694	March 30, 2004	Nordman			

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	912,685	December 12, 1962	United Kingdom				

#### OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

Examiner's Initials	

**Examiner:**

**Date Considered:**

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.